

Roger Williams Hospital

Department of Surgery

825 Chalkstone Avenue Providence Rhode Island 02908-4735 (401) 456-2507 (401) 456-5395 FAX

Richard P. Junghans, Ph.D., M.D. Chief, Division of Surgical Research

Associate Professor of Surgery and Medicine Director, Biotherapeutics Development Lab

May 4, 2005

Director United States patent and Trademark Office Washington DC 20231

Attn: Dr. Larry Helms, Examiner

RE: "Chimeric effector cell receptors against carcinoembrionic antigen" #10/006,771

Dear Dr. Helms:

I am returning materials related to the USPTO action dated 2/18/2005 along with a check for the extension fee. This submission complies with the extension penalty requirement.

Thank you for your time and consideration.

Sincerely

Richard P. Junghans, PhD, MD

Enclosure

RPJ/mj

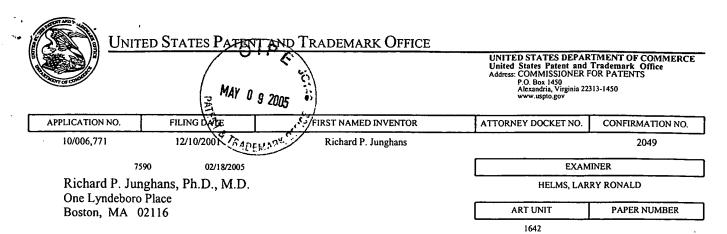
05/10/2005 RMEBRAHT 00000008 10006771

01 FC:2252

225.00 OP



| 76. | Application No. | Applicant(s) |
|--|--|---|
| O P ENotice of Non-Compliant | 10/006,771 | JUNGHANS, RICHARD P. |
| Amendment (37 CFR 1.121) | Examiner | Art Unit |
| 1AY 0 9 2005 | Larry R. Helms | 1642 |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address | | |
| The amendment document filed on <u>14 February 2005</u> is a requirements of 37 CFR 1.121. In order for the amendment required. | considered non-compliant because ant document to be compliant, co | se it has failed to meet the rection of the following item(s) is |
| THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE A 1. Amendments to the specification: A. Amended paragraph(s) do not include in B. New paragraph(s) should not be underly C. Other | markings. | BE NON-COMPLIANT: |
| 2. Abstract:A. Not presented on a separate sheet. 37B. Other | CFR 1.72. | |
| ☐ 3. Amendments to the drawings: ☐ A. The drawings are not properly identified "Annotated Sheet" as required by 37 C ☐ B. The practice of submitting proposed drawshowing amended figures, without mar ☐ C. Other | FR 1.121(d). awing correction has been elimina | ated. Replacement drawings |
| ✓ 4. Amendments to the claims: ☐ A. A complete listing of all of the claims is ☐ B. The listing of claims does not include the ☐ C. Each claim has not been provided with of each claim cannot be identified. Not number by using one of the following stop (Previously presented), (New), (Not enterpresented) ☐ D. The claims of this amendment paper has a contract through see MPEP 714. | the text of all pending claims (incluing the proper status identifier, and a set the status of every claim mustatus identifiers: (Original), (Currestered), (Withdrawn) and (Withdrawn) and the set of have not been presented in ascendant the set of the state and education and educati | as such, the individual status to be indicated after its claim ently amended), (Canceled), wn-currently amended). ding numerical order. Indicated and the material removed |
| For further explanation of the amendment format required http://www.uspto.gov/web/offices/pac/dapp/opla/preognot/ | by 37 CFR 1.121, see MPEP § tice/officeflyer.pdf . | 714 and the USPTO website at |
| TIME PERIODS FOR FILING A REPLY TO THIS NOTICE | E: | |
| Applicant is given no new time period if the non-confiled after allowance. If applicant wishes to resubmit entire corrected amendment must be resubmitted v | the non-compliant after-final ame | ndment with corrections, the |
| Applicant is given one month, or thirty (30) days, whi corrected section of the non-compliant amendment amendment is one of the following: a preliminary ame request for continued examination (RCE) under 37 CI period under 37 CFR 1.103(a) or (c), and an amendment | in compliance with 37 CFR 1.121 endment, a non-final amendment FR 1.114), a supplemental amen nent filed in response to a <i>Quayle</i> | , if the non-compliant (including a submission for a dment filed within a suspension action. |
| Extensions of time are available under 37 CFR 1 amendment or an amendment filed in response to | .136(a) <u>only</u> if the non-compliant a <i>Quayle</i> action. | amendment is a non-final |
| Failure to timely respond to this notice will result Abandonment of the application if the non-comfiled in response to a Quayle action; or Non-entry of the amendment if the non-complia amendment. | npliant amendment is a non-final | |
| S Patent and Trademark Office | · · · · · · · · · · · · · · · · · · · | |



DATE MAILED: 02/18/2005

Please find below and/or attached an Office communication concerning this application or proceeding.